

COMPREHENSIVE SCHOOL-BASED TOBACCO USE PREVENTION GRANT APPLICATION

2017

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I. Background

Tobacco remains the single most significant cause of preventable mortality and morbidity in the United States today.

According to the American Lung Association, approximately 90% of adult smokers began using tobacco before their 18th birthday, (U.S. Department of Health and Human Services. Preventing Tobacco Use Among Youth and Young Adults: A Report of the Surgeon General. Atlanta, 2012)

Due to previous efforts in our schools and in the community at-large, a significant reduction in youth smoking has been achieved through programming efforts directly funded from the Master Tobacco Settlement for single strategy implementation. However, there exist disparities in youth smoking rates across Supervisory Unions/School Districts in Vermont, as indicated by the 2013 Youth Risk Behavior Survey (YRBS) data.

Mindful of these disparities, this grant making will employ targeted funding through a competitive process to achieve the following two goals (or Overarching SMART Outcome Objectives) in awarded Supervisory Unions.

By June 2020, reduce youth cigarette smoking use behavior by 5% compared to their baseline YRBS rate and

By June 2020, reduce youth electronic vapor product use by 5% compared to their baseline YRBS rate

[since early indications are that these unregulated nicotine delivery systems provide a pathway for increasing youth smoking rates thus potentially undoing both the investment and progress our state has made over decades. Furthermore, these devices are also known to be used to deliver hash oil, other marijuana concentrates and other substances.]

II. Eligible Grantees

The Vermont Agency of Education (AOE) invites all Supervisory Unions or School Districts currently providing Youth Risk Behavior Survey (YRBS) data to apply for a Comprehensive School-Based Tobacco Use Prevention Grant for the purpose of providing a multi-strategy approach to preventing tobacco use across their local educational agency (LEA).

III. Award Amounts and Duration

Each applicant will be ranked to qualify for \$30,000 a year for each of four years, beginning July 1, 2016. This is a competitive process based upon available AOE funding as allocated by the State of Vermont annually and grantee maintaining compliance with grant requirements and expectations.



IV. Requirements

All applicants must utilize the Grantium system for submission of grant application including budget and receipt of programmatic and financial data if grant award is provided.

V. Allowable Uses of Funds

Funds awarded through this grant must be used to directly support efforts to complete the Applicant's proposed Work Plan. Please note that indirect charges (e.g., overhead costs, business office fees, etc.) cannot be included in the budget as only direct costs are allowable in state grants.

The budget must provide a clear understanding of how grant funds will be used to meet the proposed Work Plan flowing from the pre-established objectives.

Budget Worksheet for Year One (Only)

Provided here for planning purposes only. This information will need to be entered on the Program Activities and Budget page in your Grant Application done in Grantium.

<u>Categories</u>	<u>Description of Expense</u>	<u>Cost</u>	
Salaries			
Benefits			
Prof & Tech Services			
Travel			
Curricula/Resources			
Materials & Supplies			
OPEB Contribution			
		\$30,000	TOTAL

In preparing the application, applicants should be mindful that AOE strongly recommends that documentation of this process be maintained for auditing purposes.

VI. Due Date

Due on Friday, April 29, 2016 by 4 PM via Grantium

VII. Timeline

Grant application released	January 6, 2016
Applicant Workshop which includes Grant Writing Session	March 16, 2016
Application due date	April 29, 2016
Awards announced by	June 1, 2016
Awards begin	July 1, 2016



VIII. Applicant Workshop and Technical Assistance

An Applicant workshop will be held on the date and location listed below. A Grant Writing Workshop will precede a Bidder's Conference to provide valuable information to guide SU/SDs in completing the application in Grantium by the April 29, 2016 deadline.

Wednesday, March 16, 2016 10 AM - 3:30 PM. Lake Morey Resort, Fairlee, VT SNOW DATE: Friday, March 18, 2016 10 AM - 3:30 PM. Lake Morey Resort, Fairlee, VT

Bringing a team to the workshop is essential for success. Confirm your team members' attendance by emailing names, affiliations and contact information to Robert.Uerz@vermont.gov at least one week prior to the meeting. Contact Robert Uerz (802) 479-1437 to schedule an appointment or to discuss any questions about this document or the competition.

IX: Alignment with Education Quality Standards and the National Health Education Standards

Funds for programs are expected to align and support Vermont's <u>Education Quality Standards (EQS)</u>. EQS includes Proficiency-Based Graduation Requirements (PBGRs) that are a locally-delineated set of content knowledge and skills connected to state standards adopted by the State Board of Education that, when supplemented with any additional locally-developed requirements, have been determined to qualify a student for earning a high school diploma. Also in accordance with EQS, funded programs are expected to offer Flexible Pathways for Learning and Personal Learning Plans (PLP). http://education.vermont.gov/flexible-pathways

Comprehensive, classroom-based health education curriculum, taught by a licensed <u>elementary</u> health educator, or <u>middle and high school</u> health educator (<u>EQS, 2121.2</u>), should focus on skill development for preventing the use of harmful substances. This approach may address all forms of drug abuse, including the underage use of legal drugs; the use of illegal drugs, and the inappropriate abuse of legally obtained substances (i.e. inhalants, prescription medications, or over the counter drugs).

Comprehensive K-12 health education must be based on the National Health Education Standards, adopted by the Vermont State Board of Education. Curricula should also be aligned with the CDC's Characteristics of Effective Health Education Curriculum. 16 V.S.A. § 131 defines "comprehensive health education" as a systematic and extensive elementary and secondary educational program designed to provide a variety of learning experiences based upon knowledge of the human organism as it functions within its environment. The term includes the study of eleven content areas including "(9) Drugs including education about alcohol, caffeine, nicotine and prescribed drugs."

X: Application and Submission Process

The application can be found in Appendix A. The application form must be a self-populated by the applicant and the applicant must submit the package via Grantium (and arrive at the Agency of Education by 4 PM on Friday, April 29, 2016).

The application employs weighted criteria in a three-tier scoring system will be employed to rank all applications for funding.



These criteria include:

Tier 1 - Youth smoking rate in the respective SU/SD based upon most recent YRBS data

Tier 2A - Robust but Achievable Year One Work Plan tied to Six (6) Pre-Established SMART <u>Process</u> Objectives and Two (2) Pre-Established SMART <u>Outcome</u> Objectives

Tier 2B - Infrastructure in Place to Achieve Success

Tier 3A - Adult smoking rate in counties served by the respective SU/SD based upon the 2014 Behavior Risk Factor Surveillance System (BRFSS) data

Tier 3B - Poverty rate utilizing Free and Reduced Lunch data across the SU/SD

DECISION ANALYSIS UTILIZING WEIGHTED CRITERIA TO CALCULATE RANK

THREE-TIER CRITERIA	SCORE	Χ	WEIGHT		VALUE	
1A – Maximum Score: 24% as 24 Points			.50			
2A – Maximum Score: 50 Points*			.20			
2B – Maximum Score: 50 Points**			.20			
3A – Max Score: 100% as 100 Points			.05			
3B – Max Score: 30% as 30 Points			<u>.05</u>			
			1.00			
Total of five values listed on the far right = TOTAL VALUE Utilized to Determine Overall Ranking						

XI. Grant Award Decisions and Disposition of Applications

The AOE reserves the right to award in part, to reject any and all applications in whole or in part, and to waive technical defects, irregularities or omissions if, in its judgment, the best interest of the students would be served. After receiving the grant application, the AOE reserves the right not to award all grants, to negotiate specific grant amounts, and to select certain grantees regardless of points awarded as part of the evaluation process to meet federal requirements or State Board of Education priorities. In addition, the AOE reserves the right to change the dollar amount of grant awards.

Grantees will be required to e-sign a grant agreement with the Vermont Agency of Education using the *Grantium* system.

XII. Selection Process

All applicants must employ the 2017 AOE Comprehensive School-Based Tobacco Use Prevention Grant Application Form in Grantium. DO NOT include any letters of support. DO NOT exceed the page count. Failure to follow these directions could result in an application being rejected.



All applications meeting the stated eligibility criteria will be read, scored and ranked for funding by a review panel having expertise in school-based tobacco use prevention.

The following six (6) pre-established SMART Process Objectives must be employed by the applicant in constructing their proposed Year-One Annual Work Plan.

1. ASSESSMENT Process Objective:

By July 1, 2017, (specific SU/SD) will develop across the SU/SD a Pre-K – 12 scope and sequence to revise/develop their tobacco use prevention curriculum through the use of the Tobacco Use Prevention (T) Module of the CDC's Health Education Curriculum Analysis Tool (HECAT) http://www.cdc.gov/healthyyouth/hecat/index.htm

With year two through year four, corresponding skill standards be adopted & implemented across the SU/SD.

The resulting scope & sequence must align with the National Health Education Standards as adopted by the Vermont State Board of Education in May 2015

2. POLICY Process Objective:

By July 1, 2017, (specific SU/DS) will employ the use of either the self-assessment process <u>or</u> the planning for improvement process from the CDC School Health Index (http://www.cdc.gov/healthyschools/shi/index.htm) to guide SU/SD health and safety policy

With year two through year four, implement resulting policy initiatives across the SU/SD.

Improving student health and safety can: 1.) increase student's capacity to learn, 2.) reduce absenteeism, 3.) improve physical fitness and mental alertness.

The SHI enable SU/SDs to: 1.) identify strengths and weaknesses in their health and safety policies and programs, 2.) develop action plans for improving student health, which can be incorporated into the School Improvement Plan, 3.) Engage teachers, parents, students, and the community in promoting health-enhancing behaviors.

3. CURRICULUM Process Objective:

By July 1, 2017, (specific SU/SD) will begin implementation of an evidence-based ATOD curriculum guided by the California Healthy Kids Resource Center's Online Tool (http://www.californiahealthykids.org/sciencebased) at the following grade levels: . Grades Pre-K through 2, Grades 3-5, Grades 6-8, and Grades 9-12 across the SU/SD.

With year two through year four, full implementation of grades K-12.

4. YOUTH ASSET DEVELOPMENT Process Objective:

In each year of the grant, (specific SU/SD) will implement appropriate youth asset development intervention consistent with research by the Search Institute which may include the implementation of



<u>Getting to Y: A Youth Risk Behavior Survey Student Analysis</u> at both the Middle School and High School levels across the SU/SD.

5. TEEN USE CESSATION Process Objective:

In each year of the grant, (specific SU/SD) will implement at the high school level an evidence-based teen use cessation intervention (presently either the Not-on-Tobacco or TAP/TEG programs to provide students with a voluntary path to quit across the SU/SD.

6. COMMUNITY ENGAGEMENT Process Objective:

In each year of the grant, (specific SU/SD) will host OVX (High School) and VKAT (Middle School) Chapters on campus to guide community engagement and promote student advocacy beyond the walls of the school and to the greater community across the SU/SD.

This process objective reflects a specific programmatic collaboration between the Vermont Department of Health and the Agency of Education to strengthen community and school partnerships.

XIII Other Requirements

Each year, grantees are required to submit twice-annual progress reports which will describe progress in implementing grantee work plans and achieving both process and outcome objectives. Furthermore, an annual site visit will take place to discuss challenges and to bring together partners to focus attention on celebrating successes and addressing challenges.

A funded applicant must commit to:

- (1) Participating in any evaluation activities conducted by the AOE and the U.S. Department of Education. This may include interviews of staff, parents, students and educators; completing and returning evaluation documents.
- (2) Sending a representative team to AOE Technical Assistance Trainings and grantee meetings as required; not to exceed five days per year.
- (3) Applicants may be asked to clarify certain aspects of their applications. Finalists may be asked to participate in subsequent activity such as an oral interview or to receive an onsite visit to clarify application information. Applicants will be contacted if such information is necessary.

XIV. Note regarding plagiarism

If a discovery of plagiarism is made known or brought to the attention of officials at the AOE during a grant competition, then at the discretion of the AOE, the AOE has the right to remove the grant application for funding consideration because of the occurrence of cause.



XV. Ranking of Applicants

The AOE will assemble a review group to score and rank each qualified application during the period of May 1, 2016 through May 31, 2016 for a formal announcement on June 1, 2016 with funding to begin July 1, 2016 (See Scoring Descriptions for Review Panel located in Appendix B).



Appendix A

2017 Comprehensive School-Based Tobacco Use Prevention Grant APPLICATION FORM located on GRANTIUM

This section will help you collect the information needed to enter the required date in the Grant Application located in GRATIUM

APPLICANT:	
(Supervisory Union or School District)	
CONTACT	
PERSON:	
ADDRESS:	ZIP
E-MailTelephone:	FAX:
NOTE: The information above will need to be entere Application	d in the Contact Information page in the Grant
A Complete Application Must Arrive via	Grantium by 4 pm on Friday, April 29,
2016	
Self-Scoring to address 1A –YOUTH SMOKING RAT most recent YRBS data MAXIMUM TOTAL: 24 Points of for use in scoring	TE IN THE RESPECTIVE SU/SD based upon the directly translating percentage into whole number
NOTE: The information above will need to be entere	d in question 1 on the Needs Assessment page in
the Grant Application in Grantium	•
Applicant Submission to address 2A – ROBUST BUT Six (6) Pre-Established SMART Process Objectives as MAXIMUM TOTAL: 50 Points	
REQUIRES APPLICANT TO CREATE A WORK PLAN	
STRATEGIES. APPLICATIONS NOT ADDRESSING E	EACH OF THE SIX WILL NOT BE SCORED AND
NOT RANKED FOR FUNDING.	
Quality of Assessment Strategy Score:	Maximum 8 Points
Quality of Policy Strategy Score:	Maximum 8 Points
Quality of Curriculum Strategy Score:	Maximum 8 Points
Quality of Youth Asset Development Strategy Score:	Maximum 8 Points
Quality of Teen Use Cessation Strategy Score:	Maximum 8 Points



Quality of Community Engagement Strategy Score:

Maximum 8 Points

TOTAL Maximum 50 Points

YEAR-ONE WORK PLAN SUBMISSION FORM <add text to a maximum length of 3 pages>

>	Assessment Strategy:						
>	Policy Strategy:						
>	Curriculum Strategy:						
>	Youth Asset Development Strategy:						
>	Teen Use Cessation Strategy:						
>	Community Engagement Strategy:						
	The information above will need to be entered in the Program Objectives page in the Grant ation in Grantium						
	ant Submission to address 2B – INFRASTRUCTURE IN PLACE TO ACHIEVE SUCCESS. MUM TOTAL: 50 Points						
REOLII	RES APPLICANT TO IDENTIFY INDIVIDUALS IN THE SU/SD CHARGED WITH KEY ROLES						
	SURE SUCCESSFUL IMPLEMENTATION OF THE AFORE LISTED WORK PLAN. APPICANTS						
MUST IDENTIFY BOTH A GRANT COORDINATOR (WHO MUST BE A SU/SD EMPLOYEE) AND AN							
ASSIST	ANT COORDINATOR (WHO DOES NOT NEED TO BE A SU/SD EMPLOYEE).						
Leaders	ship and Grant Management Personnel:						
NAME	OF TOBACCO USE PREVENTION GRANT COORDINATOR:						
	Title						
	Address						
2017 Com	prehensive School Pesed						



Title	e at SU/SD or Affiliated Organization
E-Mail Address	
NOTE. The information shows will need to be entered in the	list on the hottom of the Contact
NOTE: The information above will need to be entered in the Information page in the Grant Application in Grantium	list on the bottom of the Contact
mornation page in the Grant rippinearion in Grantium	
*	
RATIO of Student: Licensed Health Educator in the SU/SD:	
NOTE: The information above will need to be entered in que the Grant Application in Grantium	estion 2 on the Needs Assessment page in
Existing SU/SD-Wide Whole School, Whole Community, Whole Committee/School Health Advisory Council (SHAC)//School V	
Chair (Name) Role	
Members (Name)	
Role	
NOTE: The information above will need to be entered in que the Grant Application in Grantium	estion 1 on the Management Plan page in
Name of SU/SD-wide School Health Coordinator: MAXIMUM	<u>I 5 POINTS</u>
E-Mail Address	
NOTE: The information above will need to be entered in que	estion 2 on the Management Plan page in
the Grant Application in Grantium	



Self-Scoring to address 3A – ADULT SMOKING RATE IN COUNTIES SERVED BY THE RESPECTIVE SU/SD based upon the 2014 Behavior Risk Factor Surveillance System (BRFSS) data. % MAXIMUM TOTAL: 30 Points directly translating percentage into whole number for use in scoring Counties served by the Applicant (SU/SD's geographic boundaries) % County Adult Smoking Rates % County Adult Smoking Rates - if necessary utilize simple average % County Adult Smoking Rates - if necessary utilize simple average NOTE: The information above will need to be entered in question 3 on the Needs Assessment page in

the Grant Application in Grantium



Self-Scoring to address 3B – POVERTY RATE utilizing the SU/SD-wide Free and Reduced Lunch data.

% MAXIMUM TOTAL: 100 Points directly translating percentage into whole number for use in scoring

NOTE: The information above will need to be entered in question 4 on the Needs Assessment page in the Grant Application in Grantium



Appendix B

NOT FOR COMPLETION BY APPLICANT BUT PROVIDED FOR TRANSPARENCY

2017 Comprehensive School-Based Tobacco Use Prevention Grant SCORE DESCRIPTIONS FOR REVIEW PANEL

Purpose:									
The purpose of the score descriptions is to provide all reviewers a standardized score for each of five scoring criteria for a final score used in ranking each application.									
Directions:									
Use the table b	elow to §	guide yo	ur scorir	ng for ea	ch parti	cular sec	tion.		
Tier 1A Criter	<u>ia</u> :								
Youth smokin	g rate in	the resp	ective S	U/SD ba	ased up	on most	recent Y	RBS data	
Youth smoking	g rate tra	nslated f	rom a pe	ercentag	e into a	whole n	umber		
(whol	e numbe	r score)	X	.50 (we	ight)	=			(value)
Tier 2A Criteri	a:								
Robust but ac							Establis	hed SMART	Process Objectives
Quality of Ass	essment	Strategy	Score:						
Outstanding	8	Good		6	Satisfa	ctory	4	Marginal	2
Unacceptable/	Not Inclu	ıded	0	.20 (we	ight)	=		1	(value)
Quality of Poli	<u>cy Strate</u>	g <u>y </u> Score	:						
Outstanding	8	Good		6	Satisfa	ctory	4	Marginal	2
Unacceptable/	Not Inclu	ıded	0	.20(wei	ght)	=			(value)
Quality of Cur	riculum	<u>Strategy</u>	Score:						
Outstanding	8	Good		6	Satisfa	ctory	4	Marginal	2
Unacceptable/	Not Inclu	ıded	0	.20(wei	ght)	=			(value)



Youth Asset D	<u>evelopm</u>	<u>ient Strat</u>	<u>egy</u> Scor	e:						
Outstanding	8	Good		6	Satisfac	ctory	4	Marginal	2	
Unacceptable/	Not Inclu	uded	0	.20(wei	ght)	=				(value)
Teen Use Cess	sation Str	ategy Sc	ore:							
Outstanding	8	Good		6	Satisfac	ctory	4	Marginal	2	
Unacceptable/	Not Inclu	uded	0	.20(wei	ght)	=				(value)
Community E	ngageme	ent Strate	eg <u>y</u> Score)·						
Outstanding	8	Good		6	Satisfac	ctory	4	Marginal	2	
Unacceptable/	Not Inclu	ıded	0	.20(wei	ght)	=				_ (value)
<u>Achievability</u>	Score:									
Yes	2	No	0	.20(wei	ght)	=				_ (value)
Tier 2B Criter	<u>ia</u> :									
Infrastructure	in Place	to Achi	eve Succ	ess						
Ratio of Stude	nts: Lice	nsed Hea	ılth Educ	cator in t	he SU/S	D				
:_		(Total	# studer	nts: Num	nber of L	icensed	HE (FTE	(a))		
High Rate Sco	re 15 M	oderate l	Rate Scor	re 10 Lo	ow rate s	score 5				
X .20(weight))=								_(value)	
Existing SU/SI	O-Wide (Coordina	ted Scho	ol Healt	h Comm	nittee				
Chair (Name)					Role					
Members (Nai	me)				Role					
					Role					



	Role
	Role
	Role
Comprehensiveness of Membership Score	
Outstanding 30 Good 20 Satisfactor	y 10 Non-Existent 0
X .20(weight) =	(value)
Name of SU/SD-wide School Health Coordinator	
	Г. 1
Name	_ E-mail
Existing 5 Non-Existent 0	
X .20(weight) =	(value)
<u>Tier 3A Criteria</u>	
Adult Smoking Rate in Counties served by the res Factor Surveillance System (BRFSS) data	spective SU/SD based upon the 2014 Behavior Risk
Adult smoking rate translated from a percentage in	to a whole number
(whole number score) X .05 (weight	t) =(value)
<u>Tier 3B Criteria</u>	
Poverty Rate utilizing the SU/SD-wide Free and R	educed Lunch data
Poverty rate translated from a percentage into a wh	ole number
(whole number score) X .05 (weight	(value)
	TOTAL VALUE



Appendix C

Comprehensive School-Based Alcohol, Tobacco and Other Drug (ATOD) Prevention

Substance use among youth is influenced at the individual, peer, family, school, community, and societal levels. School communities increase the likelihood of positive outcomes when multiple levels of influence are addressed using evidence-based prevention programs and practices. To promote a safe and healthy school environment, substance abuse prevention and early intervention work best when they are robust and integrated into the school's whole approach (e.g., Whole School, <a href="Whole School

Safe and Healthy School Environment

A safe and healthy school environment that supports student connection to school promotes healthy relationships, academic success and buffers against negative effect of unhealthy risk behaviors, such as early alcohol and drug use. A Multi-Tiered System of Supports (MTSS) framework can be applied to effectively promote healthy behaviors for all students.

Health and Early Intervention Services

Availability of early intervention services is recommended for all students in the school. Such services include: screening, referral for assessment when indicated, educational support groups, family outreach, direct health services, and emergency response protocols.

School Policy

16 VSA §1165, concerning school policy, requires each school district to adopt policies for the education, discipline and referral for rehabilitation of students who are involved with alcohol or drug abuse on school property or at school functions. Included in these policies are recommended procedures for education; referral for treatment, counseling and rehabilitation; and standards consistent with due process of law for discipline, suspension or dismissal of students. School policies should be regularly communicated to staff, parents and students.

Parent Outreach and Community Engagement

Parent outreach includes engaging parents and providing information on the school's programs, substance abuse policy, family education resources, health services, and referral system. Early substance use and abuse is more likely to decrease when parents, schools, and other community organizations work together and plan mutually supportive strategies to promote youth involvement in the community and discourage substance abuse. It is recommended that schools partner with local prevention coalitions to support these efforts.

Classroom-based Health Education

Comprehensive, classroom-based health education curriculum, taught by a licensed <u>elementary</u> health educator, or <u>middle and high school</u> health educator (<u>EQS, 2121.2</u>), should focus on skill development for preventing the use of harmful substances. This approach may address all forms of drug abuse, including the underage use of legal drugs; the use of illegal drugs, and the inappropriate abuse of legally obtained substances (i.e. inhalants, prescription medications, or over the counter drugs).

Comprehensive K-12 health education must be based on the <u>National Health Education Standards</u>, adopted by the Vermont State Board of Education. Curricula should also be aligned with the <u>CDC's</u> <u>Characteristics of Effective Health Education Curriculum</u>. <u>16 V.S.A. § 131</u> defines "comprehensive health education" as a systematic and extensive elementary and secondary educational program designed to



provide a variety of learning experiences based upon knowledge of the human organism as it functions within its environment. The term includes the study of eleven content areas including "(9) Drugs including education about alcohol, caffeine, nicotine and prescribed drugs."

For more information, visit: $\underline{education.vermont.gov}$ or $\underline{healthvermont.gov}$.



Appendix D

CDC Training Tools for Healthy Schools: Promoting Health and Academic Success

Below is a listing of particular CDC Tools and Training Components which are directly applicable to a number of specific strategies required through this grant.:

NATIONAL HEALTH EDUCATION STANDARDS

http://www.cdc.gov/healthyschools/sher/standards/index.htm

CHARACTERISTICS OF AN EFFECTIVE HEALTH EDUCATION CURRICULUM

http://www.cdc.gov/healthyschools/sher/characteristics/index.htm

HEALTH EDUCATION CURRICUM ANAYSIS TOOL (HECAT)

http://www.cdc.gov/healthyyouth/hecat/index.htm

SCHOOL HEALTH INDEX

http://www.cdc.gov/healthyschools/shi/index.htm

